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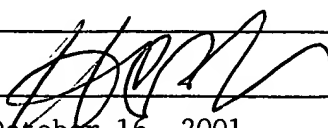
PTO/SB/21 (08-00)  
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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/892,472	
	Filing Date	June 28, 2001	
	First Named Inventor	B. Malo	
	Group Art Unit	2874	
	Examiner Name		
Total Number of Pages in This Submission		Attorney Docket Number	702P06US-1

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks		

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Harold C. Baker
Signature	
Date	October 16, 2001

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <input type="text"/>			
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#3  
FDS  
K. J. Jones  
10/24/01

File No.: 702P06US-1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Application No. : 09/892,472**  
**Filed : June 28, 2001**  
**Title : "LOCALIZED ANNEALING OF UV INDUCED INDEX  
GRATING IN OPTICAL WAVEGUIDES"**  
**Inventor : Bernard Malo**  
**Group Art Unit : 2874**

**October 16, 2001**

**INFORMATION DISCLOSURE STATEMENT  
Under 37 C.F.R. 1.97**

The Honourable Commissioner  
of Patents & Trademarks,  
WASHINGTON, D.C. 20231,  
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Sir:

Under the provisions of 37 C.F.R. 1.97, including particularly subsections (g) and (h) thereof, and 37 C.F.R. 1.98 including specifically subsection (d) thereof, Applicant calls to the attention of the Examiner the following documents, copies of which are enclosed:

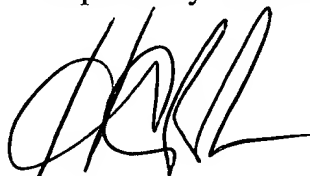
- C1 - Laser Trimming Method for Adjustment of Grating Offset in  
Optical Fibre Grating Couplers - Yokota et al -  
Dept. of Systems Engineering, Faculty of Engineering,  
Ibaraki University

This reference was brought to the patent agent's attention during the preparation of the application.

Applicant respectfully requests that these references be entered into the record of this application. The Examiner is requested to initial the appropriate area on the enclosed copy of PTO Form 1449, thereby indicating consideration by the Examiner of each of these references.

Applicant respectfully submits that the present claims are patentable over the references identified herein, and requests timely and favourable examination of this application.

Respectfully submitted

A handwritten signature in black ink, appearing to read 'HCB', written over a horizontal line.

Harold C. Baker  
Registration 19333

HCB/at  
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